

#10
T.D.
11/22/02IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn Re Application of :H. W. Adams, Jr., et al :

Group Art No.: 2175

Serial No. 09/421,139 :

Examiner: S.Rimell

Filed: June 18, 1997 :IBM Corporation
by Anne Vachon Dougherty
3173 Cedar Road
Yorktown Heights,
New York 10598Title: SYSTEM AND METHOD FOR
INTERACTIVE READING
AND LANGUAGE INSTRUCTIONDECLARATION OF PRIOR INVENTION IN THE UNITED STATES TO
OVERCOME CITED PATENT OR PUBLICATION (37CFR 1.131)Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

1. This declaration is to establish completion of the invention in this application in the United States at a date prior to June 2, 1997, which is the earliest effective date of the prior art reference cited by the Examiner, U.S. Patent No. 5,920,838 of Mostow.

2. The persons making this declaration are Hugh W. Adams, Jr., Subrata Kumar Das, Peter G. Fairweather and Don H. Nix,

Y0996-244X

-1-

the joint inventors of the subject matter of the patent application.

3. To establish the date of completion of the invention of this application, the following attached documents are submitted as evidence:

computer archive records showing archiving of program code for the inventive system and method; and

excerpts from source code embodying the inventive system and method.

From these documents, it can be seen that the invention in this application was made at least by the date June 2, 1997, which is a date earlier than the effective date of the cited reference.

4. This declaration is submitted prior to final rejection.

5. As a person signing below:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further

YO996-244x

-2-

that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Hugh Williams Adams, Jr.

Inventor's signature Hugh W Adams Jr

Date 10-17-02 Country of citizenship USA

Residence 17 Rolling Green Lane, Wappingers Falls, NY 12590

Post Office Address same as above

Full name of second inventor: Subrata Kumar Das

Inventor's signature Subrata Kumar Das

Date 10/17/02 Country of citizenship USA

Residence 6 Seminary Lane, Granite Springs, NY 10527

Post Office Address same as above

YO996-244X

-3-

Full name of third inventor: Peter Gustav Fairweather

Inventor's signature

Peter Gustav Fairweather

Date Oct. 17, 2002 Country of citizenship USA

Residence 294 Colonel Greene Rd, Yorktown Hts, NY 10598

Post Office Address same as above

Full name of fourth inventor: Don Holmes Nix

Inventor's signature

Don Holmes Nix

Date 10/22/2002 Country of citizenship USA

Residence 25 Evergreen Way, Sleepy Hollow, NY 10591

Post Office Address same as above

YO996-244X

-4-

Full name of third inventor: Peter Gustav Fairweather

Inventor's signature *Peter Gustav Fairweather*

Date Oct. 17, 2002 Country of citizenship USA

Residence 294 Colonel Greene Rd, Yorktown Hts, NY 10598

Post Office Address same as above

Full name of fourth inventor: Don Holmes Nix

Inventor's signature _____

Date _____ Country of citizenship USA

Residence 25 Evergreen Way, Sleepy Hollow, NY 10591

Post Office Address same as above

YO996-244X

-4-